



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

OCT 19 2001

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/844,439	04/30/2001	Yves Louis	L741.01102

CONFIRMATION NO. 9088

FORMALITIES LETTER



\*OC00000006496288\*

STEVENS, DAVIS, MILLER & MOSHER, LLP  
Suite 850  
1615 L Street, N.W.  
Washington, DC 20036

Date Mailed: 08/30/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$184.
  - \$9 for 1 total claims over 20.
  - \$40 for 1 independent claims over 3.
  - \$135 for multiple dependent claim surcharge.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 604.

10/22/2001 SDIRETA1 00000003 09844439

01 FC:201 370.00 OP  
02 FC:205 65.00 OP  
03 FC:203 9.00 OP  
01 FC:201 MTEKLENI 00000007 19437540-09844439

01 FC:202 42.00 CH

A copy of this notice **MUST** be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533)(Small Entity)**

Docket No.  
L741.01102

In Re Application Of:  
Y. AUDEBERT, et al.

Serial No.  
09/844,439

Filing Date  
April 30, 2001

Examiner  
Unassigned

Group Art Unit  
2161

Invention:

**METHOD AND SYSTEM FOR AUTHENTICATION THROUGH A COMMUNICATION PIPE**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on  
August 30, 2001  
Date

Enclosed herewith for filing are the following:

- ☒ A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). **(REQUIRED)**
- ☒ An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.
- ☐ A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date.
- ☐ An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- ☐ A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.
- ☒ 1 verified small entity declaration(s)
  - ☒ is/are attached.
  - ☐ was/were filed on \_\_\_\_\_
- ☐ A separate request for refund.
- ☒ Other (list):

Cover Letter  
Information Disclosure Statement with PTO-1449

**Response To Notice To File Missing Parts Of Application**  
**Filing Date Granted (PTO-1533)(Small Entity)**

Docket No.  
L741.01102

In Re Application Of: **OCT 19 2001**  
**Y. AUDEBERT, et al**

Serial No.  
09/844,439

Filing Date  
April 30, 2001

Examiner  
Unassigned

Group Art Unit  
2161

Invention:

**METHOD AND SYSTEM FOR AUTHENTICATION THROUGH A COMMUNICATION PIPE**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Attention: Manager, Application Branch

☒ Completion of application fees as calculated below:

☒ Utility application filing fee \$370.00

☐ Design application filing fee \_\_\_\_\_

☐ Total number of independent claims = \_\_\_\_\_ \_\_\_\_\_

☒ Total number of claims = 21 \$9.00

☒ Multiple dependent claims \$140.00

☒ Surcharge for late payment of filing fee and/or late filing of original declaration or oath \$65.00

☐ Petition and fee for filing by other than all the inventors or a person not the inventor \_\_\_\_\_

☐ Fee for processing an application filed with a non-English language specification \_\_\_\_\_

☐ Fee for processing and retention of application \_\_\_\_\_

Total completion of application fees \$584.00

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

☐ One month    ☐ Two months    ☐ Three months    ☐ Four months    ☐ Five months

from: \_\_\_\_\_ until: \_\_\_\_\_  
Date Date

Total time extension fees \_\_\_\_\_

Total fees due \$584.00

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533) (Small Entity)**

Docket No.  
**L741.01102**

In Re Application Of:  
**Y. AUDEBERT, et al.**

Serial No.  
**09/844,439**

Filing Date  
**April 30, 2001**

Examiner  
**Unassigned**

Group Art Unit  
**2161**

Invention:

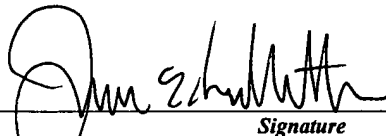
**METHOD AND SYSTEM FOR AUTHENTICATION THROUGH A COMMUNICATION PIPE**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Attention: Manager, Application Branch

The fee of **\$584.00** is to be paid as follows:

- ☒ A check in the amount of the fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. 19-4375  
A duplicate copy of this sheet is enclosed.
- ☐ If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No. 19-4375  
A duplicate copy of this sheet is enclosed.

  
*Signature*

Dated: **October 19, 2001**

**James E. Ledbetter, Esq.**  
**Registration No. 28,732**  
**STEVENS, DAVIS, MILLER & MOSHER, LLP**  
**1615 L. Street, NW, Suite 850**  
**Washington, DC 20036**  
**Tel.: (202) 785-0100**  
**Fax: (202) 408-5200**

I certify that this document and fee is being deposited on \_\_\_\_\_ with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

*Signature of Person Mailing Correspondence*

*Typed or Printed Name of Person Mailing Correspondence*

CC:



#### **CROSS-REFERENCE TO RELATED APPLICATIONS**

The present application is related to co-pending U.S. patent application Ser. No. \_\_\_\_\_ entitled, **"METHOD AND SYSTEM FOR ESTABLISHING A REMOTE CONNECTION TO A PERSONAL SECURITY DEVICE,"** filed on \_\_\_\_\_, and assigned to the assignee of the present invention. Applicant hereby incorporates by reference the above-mentioned co-pending application.